



BALDWIN BROTHERS

## INVESTMENT TEAM

### Get to Know Us Better

We are all very different people, but we share one absolute truth: we absolutely love what we do.

Genuine relationships and personal attention are the hallmark of the Baldwin experience, so it's really important that you like who we are, what we stand for, and how we approach our business. We think a good place to start is to introduce you to the people who shape our culture and manage our client relationships, giving you a little bit of insight into the unique value each person will bring to your team. We hope you enjoy—and we look forward to learning more about you!





## MICHAEL BALDWIN, PARTNER, FOUNDER & ADVISOR

*Dreamer, Doer, Rebel with (a whole lot of) Causes*

---

**The book he should write:** *"Sustainable Spirituality, and Other Ways to Heal the World"*

**Absolutely loves:** Art, cattails, sailing

**Could live without:** Fruit flies

**Founded Baldwin:** 1974

**A few details:** BA from Harvard, and currently trustee of the Nathaniel Saltonstall Arts Fund, The Garfield Foundation and Northeast Investors.



Stepping into the Marion, Massachusetts office is like looking inside the fascinating mind and imagination of Michael. At once natural, quirky, intelligent, and artistic—he creates environments that move people and inspire change. Full of light, elements of the earth, and a staggering diversity of original art, the office wakes the senses and delights the mind. Michael is a true entrepreneur and active participant in cultural change. In addition to founding Baldwin, Michael and his wife Margie started the Marion Institute, a not-for-profit designed to drive positive change in the areas of health, healing, sustainability, education and spirituality.



## KAITLYN FAGUNDES, MANAGER, TRADING

*Exceedingly detailed oriented, a great listener, and refreshingly direct*

---

**The book she should write:** *"Look Closely, but from Every Angle"*

**Absolutely loves:** Her 80 lb. black lab and traveling

**Could live without:** Beating around the bush

**Years with Baldwin:** Since 2015

**A few details:** Kaitlyn received her BS in Economics from Northeastern University and was first introduced to Baldwin during their unique co-op work-study program. After graduation, she returned to Baldwin where she continues to grow.

Kaitlyn brings a direct and caring energy to the firm. Her propensity to be straightforward and honest sits comfortably alongside a capacity to respect and hold various perspectives. She is always curious about how people see the world, and values the diversity of experience others bring to her own. Her acute attention to detail makes her a great addition to the team; Kaitlyn leads all client portfolio trading efforts for the firm. She works closely with portfolio managers and outside trading partners to ensure timely and accurate trade execution across multiple securities. In addition, she assists with compliance and internal client reporting.







## DAVID BARRETT, ADVISOR

*Steady in any storm and always looking forward*

---

**The book he should write:** "The Science & Art of Investing"

**Absolutely loves:** Being alone with a book

**Could live without:** Being over scheduled & Being late

**Years with Baldwin:** Since 1982

**A few details:** David has been a part of the Marion community most of his life; he grew up here and loves boating, golfing, and spending time on the Cape with his books, and his family.



David has been contributing to Baldwin's growth and success for over 38 years, amassing an impressive range of knowledge and the kind of cumulative investment experience that heightens instinct and encourages perspective. With a keen interest in history and human behavior, and a true passion for what he does, David provides a calming, steady hand that fosters loyalty and lifetime relationships. David serves on the Board and Chair of the Investment Committee at Tabor Academy and has a BA from Union College and an MBA from University of Pennsylvania's Wharton School.



## LEAH HOKENSON, MANAGING DIRECTOR, ADVISOR, ESTATE PLANNING

*Constantly curious, joyful, and engaging*

---

**The book she should write:** "Art as an Asset: Understanding Cultural Legacy"

**Absolutely loves:** Her 2 children, surfing, art, and NYC

**Could live without:** Checked luggage

**Surprising fact:** She likes to box

**A few details:** Leah is a member of the NYC Bar Art Law Committee and served two terms as a member of the NYC Bar Estate and Gift Tax Committee. She is a volunteer program curator for CUE Teen Collective, an adjunct

lecturer for Sotheby's MFA program, and has served as an expert panelist for a multitude of art and estate planning conferences. Leah received her J.D. from St. John's University and her B.A. from NYU.



Prior to joining Baldwin Brothers, Leah administered complex estates for Fiduciary Trust Company International, working with individuals, family trusts and foundations to represent their beneficial interests in traditional and unique assets, including fine art. She lights up when she talks about art and all the ways it can positively impact legacies, portfolios and the world around us. Waldorf educated, Leah takes a holistic approach to life and to work, integrating intellectual, artistic and practical skills, and believes that wealth can be used to form a more equitable, conscious world. She naturally creates a space of safety and opportunity for her clients. Talking to Leah is a joy; she is emotionally intelligent, present, and a fantastic listener, and we're thrilled she brings her unique combination of skills and experience to our clients and to the discipline of wealth management.



## TAYLOR BALDWIN, COO & CCO

*Objective Listener, Builder of Great Cultures*

---

**The book he should write:** *"The Parent Trap"*

**Absolutely loves:** Movies, and the Pittsburg Penguins

**Could live without:** Red tape

**Been with Baldwin:** Since birth, 20 years officially

**A few details:** Runs operations and ensures the firm's adherence to all compliance related matters.



From the moment you meet Taylor, you understand that he is extremely passionate about carrying the family legacy forward. He cares deeply about each and every employee, and about creating an ethical, and compassionate culture. Taylor really respects the individual differences of each person and makes sure people love what they do. In turn, he inspires energy, innovation and loyalty in the workplace—creating a sustainable business that is healthy from the inside out.



## ANU KOTHARI, DIRECTOR OF INVESTMENTS

*Genuine, funny, insightful, and very easy to talk to*

---

**The book she should write:** *"Finding Balance in All Things"*

**Absolutely loves:** Authentic Chinese food, yoga, and spending time with her family

**Could live without:** A day of saying "yes" to dessert!

**What she's reading:** *"Little Fires Everywhere"* by Celeste Ng, and *"Makers of Modern India"* by Ramachandra Guha

**A few details:** Anu moved from India to the United States 30 years ago. She speaks Hindi and Bengali, has lived in Singapore, and has travelled extensively throughout Southeast Asia. She brings to Baldwin a global perspective and a delightful ability to make people of all cultural backgrounds feel right at home.



Anu is a big picture thinker with a keen understanding of stock performance through all kinds of market cycles. At Baldwin she is Director of Investments and a member of Baldwin Brothers' investment committee. With over 20 years of investment experience at Macquarie Asset Management and State Street Global Advisors, her success stems from rigorous financial analysis and sound strategic evaluations of companies and industries, helping her differentiate between winners, and losers. Anu is a great listener and communicator, and a big believer in nurturing relationships. As such, she enjoys lifelong friendships that span the globe and ongoing professional relationships with management teams across a variety of countries, sectors and industries. She is passionate about giving back to the world and currently serves on the Board of Trustees of Hebrew Senior Life (HSL).



## EMILY LAMBERT, DIRECTOR OF INVESTMENTS

*Independent Out of the Box Thinker*

---

**The book she should write:** “How to Speak So They’ll Listen”

**Absolutely loves:** Spending time on the water and hiking

**Could live without:** Desserts without chocolate

**Years with Baldwin:** Since 2015

**A few details:** President of BASIC (Building a Sustainable Investment Community), which connects more than a thousand individuals interested in sustainable investing through monthly educational and networking events; holds a BA from Harvard College



Emily is Director of Investments at Baldwin Brothers. As a member of Baldwin Brothers’ investment committee, she meaningfully contributes to the firm’s investment policy, macroeconomic outlook and investment strategies. Emily is likewise integral to Baldwin’s equity strategy performing equity research and creating ESG thought leadership. Emily joined Baldwin Brothers in 2015. Previously, she worked at Sustainalytics, a sustainable investment research firm. Emily earned a Bachelor of Arts from Harvard College.



## ANDREW MACKEN, MANAGING DIRECTOR, ADVISOR

*Lover of dogs and new technology*

---

**The book he should write:** “The Gift of Gab”

**Absolutely loves:** His Three Boys, Wife Juliet and having fun

**Could live without:** Cloudy days

**A few details:** Over 25 years of investment experience, serving families and institutions.



Time with Andrew is never wasted. He not only makes absolutely everyone feel awesome, he loves engaging conversation and is very good at bringing out the best in all kinds of people. Andrew loves collaboration and is constantly ruminating on new ideas. His natural and insatiable curiosity encourages innovation, and was instrumental in the development of Addepar™, a software application designed to make investing more data-driven, transparent and impactful. Andrew spent over 18 years at Goldman Sachs and Fidelity Investments -- and is never looking back. Baldwin’s focus on the individual is a perfect match for Andrew’s approach to business, and life.





## JOHN MANNIX, MANAGING PARTNER, CEO & ADVISOR

*Problem solver, seer of big pictures,  
navigator of futures*

---

**The book he should write:** *"Natural Born Leaders"*

**Absolutely loves:** His five kids

**Could live without:** Drama

**Been CEO of Baldwin:** Since 2015

**A few details:** Began his career at Fidelity Investments and held senior leadership positions at Scudder Kemper Investments, Rydex Investments and Newfound Research. He was also the cofounder of Oakmont Partners, a wealth management and venture investment firm.



John is a big picture thinker who makes you feel like you are the only one in the room. He's a natural connect the dots kind of guy, who sets strategies for long-term success while staying mindful of the present moment. Seriously personable and great with all kinds of people, John is a natural leader who enjoys relationship management and helping build a culture that thrives on integrity, transparency, and honesty. He is a graduate of Syracuse University, a member of Pershing's Advisor Council and is active on several non-profit boards, including Nativity Preparatory School, the Marion Institute, and Buttonwood Park Zoological Society.



## BILL MARVEL, MANAGING PARTNER, ADVISOR

*Kind, considerate, and wears lots of fleece vests*

---

**The book he should write:**

*"The Secret to Lasting Relationships"*

**Absolutely loves:** Staying active with his three kids

**Could live without:** The month of March

**Been with Baldwin:** Since 2008

**A few details:** Over 25 years investing for families and family offices. Co-founder of two impact investment firms, GreenTech Research and New Summit Investments. MBA from Boston University.



Bill is a Managing Partner and Portfolio Manager, but is mostly known for being a really genuine person, full of compassion and empathy. He has a way of making you feel seen and heard and is especially adept at helping families uncomplicate complicated stuff. In addition to managing client relationships and portfolio management, Bill leads the firm's sustainable investment initiatives. He also acts as a Board member to several private companies, private foundations and the Town of Marion finance committee.



## KATE ROWE, DIRECTOR OF FINANCIAL PLANNING, ADVISOR

*Detail-oriented and empathic, with a lifetime love of learning*

---

**The book she should write:** *"Why Don't We Teach Kids About Money? A Guide for Parents & Educators"*

**Absolutely loves:** Her two young children and big extended-family gatherings

**Could live without:** Left lane drivers

**Fantasy career:** Race Car Driver

**A few details:** Kate is a Certified Financial Planner (CFP®) and has also completed Level II of the Chartered Financial Analyst (CFA) designation. She graduated from UMass Dartmouth.



Kate is our Director of Financial Planning, and absolutely loves what she does. She has a genuine interest in helping individuals and families navigate all matters of planning and investment. With a real zest for learning, Kate enjoys staying educated about new opportunities and potential pitfalls in the world of finance. In addition to her passion for finance and education, Kate is a natural with people from all walks of life. She especially loves children and believes strongly in arming kids with the information they need to manage the practical aspects of money and life. Prior to joining Baldwin, Kate spent multiple years in wealth management, wearing many hats including client relations, trading, financial planning and portfolio management.



## DYLAN SAGE, MANAGING DIRECTOR, ADVISOR

*Good at unsticking family stickiness, and our West Coast better half*

---

**The book he should write:** *"Natural Born Optimism"*

**Absolutely loves:** Trail Runs, Dungeness crabs, Surfing, Yoga

**Surprising fact:** Sang opera at the Met from 1st – 6th grade

**Years with Baldwin:** Since 2008

**A few details:** He has a Bachelor of Arts from Kenyon College.



Dylan is a Managing Director and Portfolio Manager. He is one of those people who can stand in the middle of a firestorm and stay incredibly calm. He has a remarkable capacity for helping families find common ground, while appreciating and respecting the individual differences, opinions, and values each person brings to the table. Dylan doesn't shy away from complexity, and has a gift for clarifying, educating, and simplifying – helping new generations engage fully in the management of family wealth and their own future wellbeing. His love of the outdoors, appreciation of the natural world, and personal desire to work closely with families over the long-term makes Baldwin a perfect fit for Dylan. He has spent 13 years in the financial industry, starting his career at Solaris Asset Management in NY.





---

## Baldwin - The Evolution of Investment

Genuine relationships and personal attention are the hallmark of the Baldwin experience. We've made lasting relationships that span generations because again and again we choose people over products, and family-impact over fast wins. You'll feel the difference the minute you meet us, and every single time for years to come.

Deliberately-sized and decidedly innovative, our high-touch, holistic, and collaborative approach puts you in a much better position to have a positive impact in ways that matter to you and your family. Unlike larger firms, trust departments and banks, we offer the flexibility to structure individual portfolios to address your unique priorities, risk tolerance, and tax situation, and provide a generous suite of services to help you live life to the fullest.

## WE INVITE YOU TO START YOUR EXPERIENCE TODAY

**Stop by and see us:** 204 Spring Street, Marion, MA 02738 | **Email:** [info@baldwin-llc.com](mailto:info@baldwin-llc.com)

*Additional information, including management fees and expenses, is provided on our Form ADV Part 2, available upon request or at the SEC's public disclosure website, [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Past performance is not a guarantee of future results.*