Baldwin Brothers

INVESTMENT TEAM

Get to Know Us Better

We are all very different people, but we share one absolute truth: we absolutely love what we do.

Genuine relationships and personal attention are the hallmark of the Baldwin experience, so it's really important that you like who we are, what we stand for, and how we approach our business. We think a good place to start is to introduce you to the people who shape our culture and manage our client relationships, giving you a little bit of insight into the unique value each person will bring to your team. We hope you enjoy—and we look forward to learning more about you!

MICHAEL BALDWIN, FOUNDER & ADVISOR

Dreamer, doer, rebel with (a whole lot of) causes

The book he should write: *"Sustainable Spirituality, and Other Ways to Heal the World"*

Absolutely loves: Art, cattails, sailing

Could live without: Fruit flies

Founded Baldwin: 1974

A few details: BA from Harvard, and currently trustee of the Nathaniel Saltonstall Arts Fund.

Stepping into the Spring Street office is like looking inside the fascinating mind and imagination of Michael. At once natural, quirky, intelligent, and artistic—he creates environments that move people and inspire change. Full of light, elements of the earth, and a staggering diversity of original art, the office wakes the senses and delights the mind. Michael is a true entrepreneur and active participant in cultural change. In addition to founding Baldwin, Michael and his wife Margie started the Marion Institute, a not-for-profit designed to drive positive change in the areas of health, healing, sustainability, education and spirituality.

TAYLOR BALDWIN, MANAGING PARTNER, COO & CCO

Objective listener, builder of great cultures

The book he should write: *"The Parent Trap"*

Absolutely loves: Movies, and the Pittsburg Penguins

Could live without: Red tape

Been with Baldwin: Since birth, 20 years officially

A few details: Runs operations and ensures the firm's adherence to all compliance related matters.

From the moment you meet Taylor, you understand that he is extremely passionate about carrying the family legacy forward. He cares deeply about each and every employee, and about creating an ethical, and compassionate culture. Taylor really respects the individual differences of each person and makes sure people love what they do. In turn, he inspires energy, innovation and loyalty in the workplace—creating a sustainable business that is healthy from the inside out.

DAVID BARRETT, ADVISOR

Steady in any storm and always looking forward

The book he should write:
"The Science & Art of Investing"

Absolutely loves: Being alone with a book

Could live without: Being over scheduled & Being late

Years with Baldwin: Since 1982

A few details: David has been a part of the Marion community most of his life; he grew up here and loves boating, golfing, and spending time on the Cape with his books, and his family.

David has been contributing to Baldwin's growth and success for over 38 years, amassing an impressive range of knowledge and the kind of cumulative investment experience that heightens instinct and encourages perspective. With a keen interest in history and human behavior, and a true passion for what he does, David provides a calming, steady hand that fosters loyalty and lifetime relationships. David has a BA from Union College and an MBA from University of Pennsylvania's Wharton School.

KAITLYN FAGUNDES, MANAGER, TRADING

Exceedingly detailed oriented, a great listener, and refreshingly direct

The book she should write: *"Look Closely, but from Every Angle"*

Absolutely loves: Her 80 lb. black lab and traveling

Could live without: Beating around the bush

Years with Baldwin: Since 2015

A few details: Kaitlyn received her BS in Economics from Northeastern University and was first introduced to Baldwin during their unique co-op work-study program. After graduation, she returned to Baldwin where she continues to grow.

Kaitlyn brings a direct and caring energy to the firm. Her propensity to be straightforward and honest sits comfortably alongside a capacity to respect and hold various perspectives. She is always curious about how people see the world, and values the diversity of experience others bring to her own. Her acute attention to detail makes her a great addition to the team; Kaitlyn leads all client portfolio trading efforts for the firm. She works closely with portfolio managers and outside trading partners to ensure timely and accurate trade execution across multiple securities. In addition, she assists with compliance and internal client reporting.

LEAH HOKENSON, MANAGING DIRECTOR, ADVISOR, ESTATE PLANNING

Constantly curious, joyful, and engaging

The book she should write:
"Art as an Asset: Understanding Cultural Legacy"

Absolutely loves: Her two children, surfing, art, and NYC

Could live without: Checked luggage

Surprising fact: She likes to box

A few details: Leah is a member of the NYC Bar Art Law Committee and served two terms as a member of the NYC Bar Estate and Gift Tax Committee. She is a volunteer program curator for CUE Teen Collective, an adjunct lecturer for Sotheby's MFA program, and has served as an expert panelist for a multitude of art and estate planning conferences. Leah received her J.D. from St. John's University and her B.A. from NYU.

Prior to joining Baldwin Brothers, Leah administered complex estates for Fiduciary Trust Company International, working with individuals, family trusts and foundations to represent their beneficial interests in traditional and unique assets, including fine art. She lights up when she talks about art and all the ways it can positively impact legacies, portfolios and the world around us. Waldorf educated, Leah takes a holistic approach to life and to work, integrating intellectual, artistic and practical skills, and believes that wealth can be used to form a more equitable, conscious world. She naturally creates a space of safety and opportunity for her clients. Talking to Leah is a joy; she is emotionally intelligent, present, and a fantastic listener, and we're thrilled she brings her unique combination of skills and experience to our clients and to the discipline of wealth management.

WILL KLEIN, CFA, MANAGING DIRECTOR OF INVESTMENTS

Realist, keen observer of markets

The book he should write:
"Macroeconomics in Microscopic Detail"

Absolutely loves: Skiing, climbing, hiking and biking

Could live without: Layovers

Been with Baldwin: 2013-2019, 2021-present

A few details: Previously led capital markets strategy at Highland Associates, an institutional investment consulting firm.

Will is a big picture thinker, a natural collaborator and pleasure to be around. When it comes to investment research, he get serious fast and take absolutely nothing at face value. As the leader of Baldwin Brothers' investment research team and member of its investment committee, he leads the firm's ESG core equity strategy. Additionally, Will is instrumental in developing Baldwin Brothers' macro and market outlook and expressing those views across the firm's investment platform. Will first joined Baldwin Brothers in 2013 and rejoined the team in 2021. He holds the Chartered Financial Analyst® designation, is a member of the CFA Institute and CFA Society Alabama and earned a Bachelor of Arts from Bard College.

ANDREW MACKEN, CFA, MANAGING DIRECTOR, ADVISOR

Lover of dogs and new technology

The book he should write: "The Gift of Gab"

Absolutely loves: His three boys, wife Juliet and having fun

Could live without: Cloudy days

A few details: Over 25 years of investment experience, serving families and institutions.

Time with Andrew is never wasted. He not only makes absolutely everyone feel awesome, he loves engaging conversation and is very good at bringing out the best in all kinds of people. Andrew loves collaboration and is constantly ruminating on new ideas. His natural and insatiable curiously encourages innovation, and was instrumental in the development of Addepar™, a software application designed to make investing more data-driven, transparent and impactful. Andrew spent over 18 years at Goldman Sachs and Fidelity Investments -- and is never looking back. Baldwin's focus on the individual is a perfect match for Andrew's approach to business, and life.

JOHN MANNIX, MANAGING PARTNER, CEO & ADVISOR

Problem solver, seer of big pictures, navigator of futures

The book he should write: *"Natural Born Leaders"*

Absolutely loves: His five kids

Could live without: Drama

Been CEO of Baldwin: Since 2015

A few details: Began his career at Fidelity Investments and held senior leadership positions at Scudder Kemper Investments, Rydex Investments and Newfound Research. He was also the cofounder of Oakmont Partners, a wealth management and venture investment firm.

John is a big picture thinker who makes you feel like you are the only one in the room. He's a natural connect the dots kind of guy, who sets strategies for long-term success while staying mindful of the present moment. Seriously personable and great with all kinds of people, John is a natural leader who enjoys relationship management and helping build a culture that thrives on integrity, transparency, and honesty. He is a graduate of Syracuse University, a member of Pershing's Advisor Council and is active on several non-profit boards, including Nativity Preparatory School, the Marion Institute, and Buttonwood Park Zoological Society.

BILL MARVEL, MANAGING PARTNER, ADVISOR

Kind, considerate, and wears lots of fleece vests

The book he should write:
"The Secret to Lasting Relationships"

Absolutely loves: Staying active with his three kids

Could live without: The month of March

Been with Baldwin: Since 2008

A few details: Over 25 years investing for families and family offices. Co-founder of two impact investment firms, GreenTech Research and New Summit Investments. MBA from Boston University.

Bill is a Managing Partner and Portfolio Manager, but is mostly known for being a really genuine person, full of compassion and empathy. He has a way of making you feel seen and heard and is especially adept at helping families uncomplicate complicated stuff. In addition to managing client relationships and portfolio management, Bill leads the firm's sustainable investment initiatives. He also acts as a Board member to several private companies, private foundations and the Town of Marion finance committee.

ANDREW F. MCINTIRE, MANAGING PARTNER, CFA

The calm in any storm

The book he should write: *"Let's Cross the Bridge When We Get To It (or) Step Off The Ledge and Let's Talk About It"*

Absolutely loves: A good debate

Could live without: Posers, winter, and traffic

On his bucket list: Italy, New Zealand, Austria

Books he's reading: The Storm of War, by Andrew Roberts, and This is Happiness, by Niall Williams

A few details: Before joining AR+M in 1998, Andrew was a member of the Risk Assessment Unit of the Federal Reserve Bank of New York. He holds the Chartered Financial Analyst (CFA) designation and is a member of both the Boston Securities Analyst Society and the Providence Society of Financial Analysts and has 30 years of industry experience – 20 in Portfolio Management. Andrew is a graduate of New York University (dual MBA, 1994), The College of Holy Cross (BA, 1988) and Tabor Academy (1984). Andrew serves on investment committees in the local area.

Everything about Andrew is reassuring – he's the calm in any storm, the rudder on any boat, and the quiet, trusted voice in a sometimes loud and noisy world. Andrew's grounded perspective may have something to do with his strong sense place. He is one of 6 generations raising families in the Mattapoisett area, affording him a unique view of life within a community, and within a larger context. He's also got an unshakeable dedication to his work family and to home, and absolutely lights up when talking about his wife Jennifer, and three children Mary Kate, Thomas, and Brendan. A former marathon runner and lover of all things active, on any given Sunday, you can find him out for an early morning walk with his beloved Golden Retriever, Prince.

KATE ROWE, CFP, MANAGING DIRECTOR, ADVISOR, FINANCIAL PLANNING

Detail-oriented and empathic, with a lifetime love of learning

The book she should write: *"Why Don't We Teach Kids About Money? A Guide for Parents & Educators"*

Absolutely loves: Her two young children and big extended-family gatherings

Could live without: Left lane drivers

Fantasy career: Race car driver

A few details: Kate is a Certified Financial Planner (CFP®) and has also completed Level II of the Chartered Financial Analyst (CFA) designation. She graduated from UMass Dartmouth.

Kate is our Director of Financial Planning, and absolutely loves what she does. She has a genuine interest in helping individuals and families navigate all matters of planning and investment. With a real zest for learning, Kate enjoys staying educated about new opportunities and potential pitfalls in the world of finance. In addition to her passion for finance and education, Kate is a natural with people from all walks of life. She especially loves children and believes strongly in arming kids with the information they need to manage the practical aspects of money and life. Prior to joining Baldwin, Kate spent multiple years in wealth management, wearing many hats including client relations, trading, financial planning and portfolio management.

DYLAN SAGE, MANAGING DIRECTOR, ADVISOR

Good at unsticking family stickiness, and our West Coast better half

The book he should write: *"Natural Born Optimism"*

Absolutely loves: Trail runs, dungeness crabs, surfing, yoga

Surprising fact: Sang opera at the Met from 1st – 6th grade

Years with Baldwin: Since 2008

A few details: He has a Bachelor of Arts from Kenyon College.

Dylan is a Managing Director and Portfolio Manager. He is one of those people who can stand in the middle of a firestorm and stay incredibly calm. He has a remarkable capacity for helping families find common ground, while appreciating and respecting the individual differences, opinions, and values each person brings to the table. Dylan doesn't shy away from complexity, and has a gift for clarifying, educating, and simplifying – helping new generations engage fully in the management of family wealth and their own future wellbeing. His love of the outdoors, appreciation of the natural world, and personal desire to work closely with families over the long-term makes Baldwin a perfect fit for Dylan. He has spent 13 years in the financial industry, starting his career at Solaris Asset Management in NY.

ZACH SHIRILLA, CFA, INVESTMENT ANALYST

A deep diver, a discerning winnower, and a fearless explorer of new frontiers

The book he should write: "Down the Rabbit Hole & Back Again: A Researchers Tale"

Absolutely loves: Fly fishing and the Pittsburgh Panthers

Surprising fact: He can (really!) cook

Could live without: Humidity, but otherwise is pretty chill

Years with Baldwin: Since 2022

A few details: Zach received his BS from University of Pittsburgh, graduating Summa Cum Laude in Finance. He has worked as an Investment Analyst since 2016, most recently with Edgemoor Investment Advisors. Zack holds the Chartered Financial Analyst® designation and is a member of the CFA Institute and CFA Society of Washington, DC.

Zach has a natural knack for seeing the forest AND the trees – combining a consistently vast market perspective with a focused, thoughtful approach. He loves to follow the breadcrumbs wherever they may lead – even off the beaten path – staying open to the unexpected, in order to uncover risks and ferret out new opportunities. And while Zach loves the thrill of chasing down a new idea, he's even-keeled, level-headed, and always practical in execution. On any given Sunday, you can find him enjoying the slow pleasure of a French Press coffee and a good historical biography with his wife and their adopted dog, Winston.

NATHAN STEWART, RESEARCH ANALYST/TRADER

Loyal, Trustworthy, and Just A Wee Bit Sarcastic

The book he should write:
"Lessons Learned from 21st Century Bear Markets "

Absolutely loves: Skiing, hiking, golf and electric guitar

Surprising fact: He doesn't like whiskey

On the bucket list: Europe

Favorite movie: Braveheart (of course)

A few details: Nate's strong work ethic started at an early age and continued through college, as he worked full-time while earning his undergraduate degree from the University of Massachusetts Amherst, and later while obtaining his master's degree in financial economics from Boston University.

Talking to Nate is like a taking a walk through the Scottish Highlands – there's a lot of hard-won history, and quite a few surprises! Having worked for over 20 years in the financial services industry, he carries a vast landscape of financial knowledge that affords him a unique, 360-degree perspective – and a view of the field few others can match. Confident, resourceful, and decisive, Nate stays focused on making the right decisions for every client, every time. Growing up a Stewart with a strong Scottish heritage, Nate's a fiercely loyal friend and colleague who brings a fair bit of sarcastic humor to keep the conversation lively. Today, Nate lives in Marion with his wife Jen, his two children, Aili and Ian, and Phoebe, his rescue Rhodesian Ridgeback.

ERIC H. STRAND, MANAGING PARTNER

If You Break It, He Can Fix it

The book he should write: "Watch Out for Pirates, and Other Financial Advice from an ex-Merchant Marine"

Absolutely loves: Time at the family house in Norway

Could live without: A losing Redsox season

On the bucket list: To visit all of the major league ballparks

Favorite books: The Horatio Hornblower Series by C.S. Forester

A few details: Eric holds an MBA from Boston University (1997), a BS from the U.S. Merchant Marine Academy at Kings Point, N.Y. (1988) and is a graduate of Tabor Academy (1984). Eric serves on several profit and non-profit boards and serves on several investment committees.

If you ever find yourself on a sinking ship, or your engine is on fire, or you've been lost at sea – you might want Eric by your side. He is king of the watchful eye, with a knack for navigating tricky waters and avoiding risky ventures. It's the kind of approach to life that only comes from first-hand, hard-won experience, and it's most likely why he's got a heart full of compassion and head full of answers. With over 20 years of industry and portfolio management experience, he is a seasoned, thoughtful professional, and an active member of the community. Before joining AR+M in 1997, he was a chief engineer for Maersk Line Ltd., an international container shipping company – which had him traveling to over 75 countries in a 10-year period! Eric loves to spend time with his family and his two aptly named dogs, Tanker, and Tugboat.

KIM TIRRELL, CLIENT ADVISOR

Natural Born Peacemaker

The book she should write: "Speeding is Underrated"

Absolutely loves: Her boys and almost any outdoor activity

Suprising fact: Petrified of swimming out in the deep ocean

Could live without: Delays

On the bucket list: To visit all the National Parks and Scandinavia

A few details: Kim received her B.A. in Fine Arts from St. Lawrence University and has over 20 years of experience managing and revamping client service and compliance initiatives for investment firms. She also serves on the Marion Art Center board.

Kim is a natural born peacemaker, with a talent for bringing people together and a knack for keeping things moving. With a big heart and propensity towards all things fair, Kim sees both sides of just about any issue, and will fight fiercely for the wellbeing of others. Not a fan of confrontation, she's brilliant at smoothing wrinkles and solving problems, but is just as comfortable not having all the answers -- a combination which makes her a terrific team player, and a natural team leader. Valued for her integrity and decisive management style, Kim quickly forms relationships and earns the trust of her clients, friends, and coworkers.

Baldwin - The Evolution of Investment

Genuine relationships and personal attention are the hallmark of the Baldwin experience. We've made lasting relationships that span generations because again and again we choose people over products, and family-impact over fast wins. You'll feel the difference the minute you meet us, and every single time for years to come.

Deliberately-sized and decidedly innovative, our high-touch, holistic, and collaborative approach puts you in a much better position to have a positive impact in ways that matter to you and your family. Unlike larger firms, trust departments and banks, we offer the flexibility to structure individual portfolios to address your unique priorities, risk tolerance, and tax situation, and provide a generous suite of services to help you live life to the fullest.

WE INVITE YOU TO START YOUR EXPERIENCE TODAY

Stop by and see us:

204 Spring Street
Marion, MA 02738

145 Front Street
Marion, MA 02738

Email: info@baldwin-llc.com

Additional information, including management fees and expenses, is provided on our Form ADV Part 2, available upon request or at the SEC's public disclosure website, www.adviserinfo.sec.gov. Past performance is not a guarantee of future results.